DT06 Rec'd PCT/PTO

Oocket No.: PF-0637 USN

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Sequence, Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202 on October 9, 2002

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Printed: Joyce Abriam

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of:

Tang et al.

Title:

NEURON-ASSOCIATED PROTEINS

Serial No .:

09/857,826

Filing Date:

To Be Assigned

Examiner:

To Be Assigned

Group Art Unit: To Be Assigned

Box Sequence Commissioner for Patents P.O. Box 2327 Arlington, VA 22202

TRANSMITTAL FEE SHEET

Sir:

Transmitted herewith are the following for the above-identified application:

- 1. Return Receipt Postcard;
- 2. Response to Notification of Defective Response (2 pp.);
- 3. Copy of the Notification of Defective Response dated 09/09/2002 (7 pp.);
- 4. Substitute Submission Under 37 CFR §1.821-1.825 Sequence Listing (1 pp.);
- 5. Paper copy of the Substitute Sequence Listing (53 pp.);
- 6. One (1) CRF diskette containing the Substitute Sequence Listing; and
- 7. Certificate under 37 C.F.R. §3.73(b), Revocation of Power of Attorney and Appointment of New Attorneys (2 pp.).

The fee has been calculated as shown below:

X No additional Fee is required.

Please charge Deposit Account No. 09-0108 in the amount of:

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17, or credit overpayment to Deposit Account No. 09-0108. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

INCYTE GENOMICS, INC.

Diana Hamlet-Cox Reg. No. 33,302

Direct Dial Telephone: (650) 845-4639

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3160 Porter Drive

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Docket No.: PF-0637 USN

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Commissioner for Patents, PO Box 2327

Arlington, VA 22202

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

In response to the "Notification of Defective Response" mailed by the United States Patent and Trademark Office on September 9, 2002, (copy attached), Applicants submit the documents listed below to complete the filing for the above-identified patent application.

- 1. Substitute Submission Under 37 CFR 1.821-1.825 Sequence Listing (1 pg.);
- 2. One (1) Substitute CRF diskette containing the Substitute Sequence Listing; and
- 3. Paper Copy of the Substitute Sequence Listing (53 pp.).

The Applicants believe that no fee is due with this communication. However, if the Commissioner determines that additional fees are due or that an excess fee has been paid, the Patent Office is authorized to debit or credit (respectively) Deposit Account No. <u>09-0108</u>.

09/857,826

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Docket No.: PF-0637 USN

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: _____

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PRIORITY DATE

SEP 1 7 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

Construssioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 20231

ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. PF-0637 USN y. Tom Tang 09/857,826

> INTERNATIONAL APPLICATION NO. PCT/US99/30408

Dian Hamlet Cox Incyte Genomics 3160 Porter Drive Palo Alto, CA 94304



12/10/1999 **CONFIRMATION NO. 2342 371 FORMALITIES LETTER**

OC000000008746411

I.A. FILING DATE

Date Mailed: 09/09/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- **Small Entity Statement**

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the *G computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the coefficient amendment directing its entry into the specification.



- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

KAYA L LEWIS BALTIMORE

Telephone: (703) 305-3695

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.		
09/857.826	PCT/US99/30408	PF-0637 USN		
09/837,820				

FORM PCT/DO/EO/916 (371 Formalities Notice)